

[OE Open Recommendation List:](#)

<b>Report Number</b>	<b>Rec #</b>	<b>Report Date</b>	<b>Recommendation Text</b>	<b>Balance Due</b>
2013-OE-0001	5	11/15/2013	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2013-OE-0001	11	11/15/2013	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2013-OE-0001	21	11/15/2013	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2013-OE-0001	32	11/15/2013	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2013-OE-0001	36	11/15/2013	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2013-OE-0001	40	11/15/2013	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2013-OE-0001	46	11/15/2013	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2013-OE-0001	50	11/15/2013	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2013-OE-0001	51	11/15/2013	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2013-OE-0001	53	11/15/2013	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2013-OE-0001	56	11/15/2013	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A

2014-ITED-0001	2	4/30/2014	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-ITED-0001	4	4/30/2014	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-ITED-0001	7	4/30/2014	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-ITED-0001	8	4/30/2014	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
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2014-ITED-0001	17	4/30/2014	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
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2014-ITED-0001	34	4/30/2014	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-OE-0002	1	2/12/2016	To strengthen HUD-wide enforcement that supports HUD's broader risk management efforts, we recommend that the Deputy Secretary implement an enterprise-wide approach to enforcement using risk management concepts similar to those shown in the multifamily enforcement model.	N/A
2014-OE-0002	2	2/12/2016	To strengthen HUD-wide enforcement that supports HUD's broader risk management efforts, we recommend that the Deputy Secretary strengthen DEC's authority to enforce program requirements. Program offices should be directed to incorporate risk management procedures, to include risk-based, data-driven referrals to DEC, and implement a process that allows DEC to recommend enforcement actions independently. The Deputy Secretary or designee should be the final arbiter when disagreements arise.	N/A
2014-OE-0002	3	2/12/2016	To strengthen HUD-wide enforcement that supports HUD's broader risk management efforts, we recommend that the Deputy Secretary provide DEC with the authority and resources necessary to implement a HUD-wide enforcement program.	N/A
2014-OE-0002	4	2/12/2016	To strengthen HUD-wide enforcement that supports HUD's broader risk management efforts, we recommend that the Deputy Secretary Direct program offices and REAC to collaborate with DEC to research the types of data that would provide clear indications of financial and physical performance failures appropriate for use in data-driven referrals to DEC from each program office.	N/A

2014-OE-0002	5	2/12/2016	To address operational challenges that impede DEC's capacity to support HUD programs, we recommend that the General Counsel provide resources and support to DEC to strengthen enforcement across HUD programs.	N/A
2014-OE-0002	6	2/12/2016	To address operational challenges that impede DEC's capacity to support HUD programs, we recommend that the General Counsel develop a strategy for addressing additional enforcement workload.	N/A
2014-OE-0002	7	2/12/2016	We recommend that the Deputy Assistant Secretary evaluate the impact of Multifamily's reorganization on enforcement, including (1) monitoring the impact of changes to the risk assessment process, (2) making changes to MOUs, (3) documenting procedural changes and how those changes affect DEC and REAC collaboration, (4) improving data tracking and data sharing with DEC and REAC on improvement and enforcement actions taken and associated results, and (5) ensuring that Multifamily has the capacity to maintain the improvements it has achieved in recent years	N/A
2014-OE-0002	8	2/12/2016	To support potential expanded data gathering for program offices, we recommend that the Principal Deputy Assistant Secretary direct REAC to work with DEC and program offices to identify and develop strategies for collecting data needed to support its National Risk Assessment Tool and ERM to include major program participant risks.	N/A
2014-OE-0003	4	11/15/2014	The OIG has determined that the contents of this recommendations would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-OE-0003	8	11/15/2014	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-OE-0003	13	11/15/2014	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-OE-0003	14	11/15/2014	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A

2014-OE-0003	16	11/15/2014	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-OE-0003	17	11/15/2014	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
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2014-OE-0003	20	11/15/2014	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
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2014-OE-0003	22	11/15/2014	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2015-OE-0001	1	11/15/2015	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2015-OE-0001	3	11/15/2015	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
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2015-OE-0001	5	11/15/2015	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2015-OE-0001	6	11/15/2015	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A

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2015-OE-0001	20	11/15/2015	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2015-OE-0002	1	9/29/2015	Develop a coordinated mission-critical system development life cycle replacement program for mission-critical systems.	N/A

2015-OE-0002	3	9/29/2015	Finalize, apply, and strategically communicate all standard IT policy across OCIO and the program offices to ensure that there is a common understanding of the modernization, EA, and CPIC policies	N/A
2015-OE-0002	4	9/29/2015	Approve at appropriate levels, implement, and disseminate policy & processes as intended	N/A
2015-OE-0002	5	9/29/2015	Formalize and fully implement segment governance.	N/A
2015-OE-0002	7	9/29/2015	Implement project health assessments to measure the effectiveness of IT project planning and execution	N/A
2015-OE-0002	8	9/29/2015	Validate the accuracy of IT investment lists by segment and the associated projects and ensure alignment with EA strategy.	N/A
2015-OE-0002	9	9/29/2015	Define and assess measurements in a yearly EA value measurement report in accordance with OMB EA framework guidance	N/A
2015-OE-0002	10	9/29/2015	Fully develop, approve at appropriate levels, and disseminate current CPIC process policies and procedures	N/A
2015-OE-0002	11	9/29/2015	Ensure that the Executive Investment Board meets in accordance with IT governance policy (related to recommendation from GAO-15-56).	N/A
2015-OE-0002	12	9/29/2015	Implement HUDPlus to automate, track, and analyze the IT investment submissions and requirements	N/A
2015-OE-0004	3D	2/2/2016	Work closely with each program office to reach agreement on the staffing model and resources needed to implement the COR professionalization initiative.	N/A
2015-OE-0008	1B	3/30/2016	The Personnel Security Division director should development a comprehensive policy and clear guidance for all HUD personnel with roles and responsibilities related to contractor employee security.	N/A
2015-OE-0008	4A	3/30/2016	The Chief Human Capital Officer should take immediate steps to eliminate the suitability adjudication backlog and meet the Office of Personnel Management timeliness standards, including the following: <ul style="list-style-type: none"> <li>- Devoting adequate and appropriately trained staff to perform suitability adjudications and</li> <li>- Prioritizing, obtaining, and implementing an automated case management system.</li> </ul>	N/A

2016-OE-0001	1	9/23/2016	Develop internal written procedures for records management that a. Identify materials OHF collects or generates, which meet the regulatory definition of a record; b. Comply with Federal and departmental records management policies, to include appropriate records disposition schedules; and c. Inform all personnel of their records management responsibilities.	N/A
2016-OE-0001	2	9/23/2016	Ensure that personnel preparing records for archiving are trained to perform this function and aware of all relevant records disposition schedules and policies.	N/A
2016-OE-0001	3	9/23/2016	Offer records management training that incorporates a. Federal and departmental records management policies, to include HUD's safeguards against the removal or loss of records and the penalties provided by law for the unlawful removal or destruction of records, and b. Employee records management responsibilities, to include identification and maintenance of Federal records in all formats and media.	N/A
2016-OE-0002	1	6/7/2017	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2016-OE-0002	2	6/7/2017	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2016-OE-0002	3	6/7/2017	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2016-OE-0002	4	6/7/2017	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2016-OE-0002	5	6/7/2017	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A



2016-OE-0002	6	6/7/2017	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
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2016-OE-0002	9	6/7/2017	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2016-OE-0004S	1	3/29/2017	Director for the Office of Field Management ensure that the CDBG-DR risk analysis worksheet includes risk factors that show the measurement of performance outputs to determine completed activities.	N/A
2016-OE-0004S	2	3/29/2017	Director for the Office of Field Management update the risk analysis guidance for CDBG-DR grants to include the assessment of the likelihood of risk occurrence to help inform management which critical risks to address during monitoring.	N/A
2016-OE-0004S	3	3/29/2017	Director for the DRSI Division ensure that monitoring strategies correlate to the high-risk areas identified during the risk analysis and are in compliance with the central risk factor categories identified in HUD's Monitoring Desk Guide.	N/A
2016-OE-0004S	4	3/29/2017	General Deputy Assistant Secretary for CPD ensure that CPD personnel that plan for, award, and manage grants and other forms of financial assistance receive formal risk analysis training.	N/A
2016-OE-0006	2	11/25/2016	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2016-OE-0006	3	11/25/2016	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
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2016-OE-0006	12	11/25/2016	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2017-OE-0007	1	10/31/2017	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2017-OE-0007	2	10/31/2017	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
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2016-OE-0010	1	4/19/2017	The Director of OFPM should complete all steps necessary for an effective evaluation effort.	N/A
2016-OE-0010	2	4/19/2017	The Director of OFPM should: <ul style="list-style-type: none"> <li>• Work with grant program offices to overcome reporting challenges.</li> <li>• Ensure that grant program offices report OMB-requested data.</li> <li>• Learn from and leverage results of participating grant programs.</li> </ul>	N/A